

Appendix 1

ISSUE TO ADDRESS	COMMENTS	SUGGESTED CORPORATE RESPONSE
<p>Review of the financial and legal elements of the Council's Constitution to ensure clarity and consistency.</p>	<p>The Council's Constitution is not as clear as it could be in support of the Section 151, and Monitoring Officers' statutory roles compared to exemplar authorities.</p>	<p>Working Group to carry out a review and make recommendations in the first instance to CMB. Need to report to Audit & Corporate Governance Committee and other appropriate decision-making and scrutiny functions prior to being agreed at Council</p>
<p>Council's Constitution and Financial Regulations to be reviewed to ensure the requirement to secure financial and legal advice on all formal reports is explicit.</p>	<p>CMB needs to rigorously police the reports produced in their areas to ensure Financial Services has completed and signed off the financial implications, and Legal Services has signed off the legal implications. This will ensure financial and legal advice is available to support all decisions.</p>	<p>CMB to insist on the highest standard in all aspects of report writing, including legal and financial implications. CMB to ensure there are no exceptions to the policy of all formal reports being cleared by the Head of Paid Service, the Monitoring Officer and the Section 151 Officer.</p>
<p>Audit Services and the Monitoring Officer need to be granted access to all staff, records and feeder systems if required to fulfil their statutory obligations.</p>	<p>Key Managers responsible for feeder systems must ensure appropriate licence arrangements are in place in the event access is requested. Key Managers must assist with training if needed.</p>	<p>Incorporate into the review of the Constitution. Re-affirm current provision in the Council's Constitution on audit access.</p>